

Form PTO-1390
(Rev. 12-2004)

US PATENT AND TRADEMARK OFFICE; US DEPARTMENT OF COMMERCE

ATTORNEY'S DOCKET NO.
C 2658 PCT/US**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known see 37 CFR 1.5)

10/526956INTERNATIONAL APPLICATION NO.
PCT/EP2003/009605INTERNATIONAL FILING DATE
August 29, 2003PRIORITY DATE CLAIMED
September 7, 2002

TITLE OF INVENTION

**SYSTEM AND METHOD FOR CARRYING OUT A DISCONTINUOUS RECTIFICATION OR
REACTION**

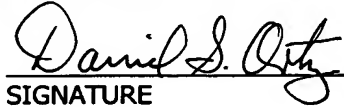
APPLICANT(S) FOR DO/EO/US

Georg Fieg, Thomas Kapala

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
 3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
 4. ☐ The US has been elected (Article 31).
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
 7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
 8. ☐ A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **(COPY OF PCT REQUEST EXECUTED BY ALL INVENTORS)**
 10. ☐ A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 to 20 below concern document(s) or information included:**
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☒ A preliminary amendment.
 14. ☐ An Application Data Sheet under 37 CFR 1.76.
 15. ☐ A substitute specification.
 16. ☒ A power of attorney and/or change of address letter.
 17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
 18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
 19. ☐ A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).
 20. ☒ Other items or information:

Drawings (5)**International Search Report (with Information Disclosure Citation and References)****"Express Mail Post Office to Addressee" service Mailing Label Number EV530259883US.**

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|--|--------------|---|--------------|--|---------------------|
| U.S. Application No. (If known, see 37 CFR 1.5) 10/526956 | | INTERNATIONAL APPLICATION NO. PCT/EP2003/009605 | | ATTORNEY'S DOCKET NUMBER C 2658 PCT/US | |
| The following fees have been submitted | | | | CALCULATIONS | PTO USE ONLY |
| 21. ■ Basic national fee..... \$300 | | | | \$ 300 | |
| 22. ■ Examination fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200 | | | | \$ 200 | |
| 23. ■ Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an: International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500 | | | | \$ 400 | |
| TOTAL OF 21, 22 and 23 = | | | | \$ 900 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| 20 - 100 = | 0/50 = | 0 | x \$250.00 | \$ 0 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ 0 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total Claims | 15 - 20 = | 0 | 0 X \$50.00 | \$ 0 | |
| Independent Claims | 1 - 3 = | 0 | 0 X \$200.00 | \$ 0 | |
| MULTIPLE DEPENDENT CLAIMS (S) (if applicable) | | | 0 + \$360.00 | \$ 0 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 900 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ 0 | |
| SUBTOTAL = | | | | \$ 900 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ 0 | |
| TOTAL NATIONAL FEE = | | | | \$ 900 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ 0 | |
| TOTAL FEES ENCLOSED = | | | | \$ 900 | |
| | | | | Amount to be refunded: | \$----- |
| | | | | Amount to be charged: | \$900.00 |
| a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>50-1177</u> in the amount of \$900.00 to cover the above fees. A triplicate copy of this sheet is enclosed. Order No. <u>05-0093</u> . c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1177</u> . A triplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: Customer Label No. 23657 | | | | | |
|  SIGNATURE | | | | | |
| Daniel S. Ortiz NAME ATTORNEY FOR APPLICANT <u>25,123</u> REGISTRATION NUMBER | | | | | |